



**DECLARATION AND POWER OF ATTORNEY**  
Patent Application Filed

Attorney's Docket Number  
F-418

Page 1 of 3

As below named inventors, we hereby declare that:

our residences, post office addresses and citizenships are as stated below next to our names;

we believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD AND APPARATUS FOR PROCESSING AND REDUCING THE AMOUNT OF RETURN TO SENDER MAIL PIECES** described and claimed in a patent application filed on December 7, 2001 and assigned the serial number 10/008,903 in the United States Patent And Trademark Office;

we have reviewed and understand the contents of the above-identified specification, including the claims;

we acknowledge our duty to disclose to the Patent and Trademark Office all information known to us to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, section 1.56; and

we do not know and do not believe the invention was ever known or used in the United States of America before our invention thereof, or patented or described in any printed publication in any country before our invention thereof or more than one year prior to this application, that the invention was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on any application filed by us or our legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by us or our legal representatives or assigns.

We hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith;

Alberta A. Vitale  
Angelo N. Chaclos  
Charles R. Malandra, Jr.  
Robert E. Meyer

Reg. No. 41,520  
Reg. No. 39,134  
Reg. No. 31,038  
Reg. No. 26,307

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APR 18 2002

**GROUP 3600**

<b>DECLARATION AND POWER OF ATTORNEY</b> Patent Application			Attorney's Docket Number <b>F-418</b>	
			Page 2 of 3	
<p>Address all telephone calls to Alberta A. Vitale at telephone No.: (203) 924-3882.</p> <p>Address all correspondence to:                      Alberta A. Vitale                                                                                     Pitney Bowes Inc.                                                                                     Intellectual Property and                                                                                     Technology Law Department                                                                                     35 Waterview Drive                                                                                     P.O. Box 3000                                                                                     Shelton, CT 06484</p> <p>We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>				
<b>Full Name</b>	Last DANIELS, JR.	First EDWARD	Middle P.	
<b>Residence &amp; Citizenship</b>	City TRUMBULL	State CT	Citizenship USA	
<b>Post Office Address</b>	Street Address 86 Aspen Lane	City Trumbull	State CT	Zip Code 06611
<b>Signature of Inventor</b>				<b>Date</b>

<b>FULL NAME</b>	Last GOTTLIEB	First ROBERT	Middle K.	
<b>Residence &amp; Citizenship</b>	City MILFORD	State CT	Citizenship USA	
<b>Post Office Address</b>	Street Address 47 Housatonic Drive	City Milford	State CT	Zip Code 06460
<b>Signature of Inventor</b>				<b>Date</b>

<b>DECLARATION AND POWER OF ATTORNEY</b> Patent Application			Attorney's Docket Number F-418	
Page 3 of 3				
<b>FULL NAME</b>	Last MALLOZZI	First JOSEPH	Middle D.	
<b>Residence &amp; Citizenship</b>	City TRUMBULL	State CT	Citizenship USA	
<b>Post Office Address</b>	Street Address 112 Teeter Rock Road	City Trumbull	State CT	Zip Code 06611
<b>Signature of Inventor</b> <i>Joseph P. Mallozzi</i>				<b>Date</b> <i>3/12/02</i>



*Patent*  
*JP*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: ) Attorney Docket No.: F-418  
EDWARD P. DANIELS, JR., Et Al. ) Group Art Unit: Not Yet Assigned  
Serial No.: 10/008,903 ) Examiner: Not Yet Assigned  
Filed: December 7, 2001 ) Date: March 18, 2002

Title: **METHOD AND APPARATUS FOR PROCESSING AND REDUCING THE  
AMOUNT OF RETURN TO SENDER MAIL PIECES**

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**GROUP 3600**

**AFFIDAVIT**

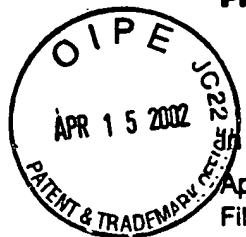
I Joseph D. Mallozzi of Trumbull, Connecticut hereby state that:

- 1) I was omitted as an inventor from the above referenced application without any deceptive intent on my part; and
- 2) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statement may jeopardize the validity of the application or any patent issued thereon.

<b>Full Name</b>	Last MALLOZZI	First JOSEPH	Middle D.
<b>Residence &amp;</b>	City TRUMBULL	State CT	Citizenship U.S.A.
<b>Post Office Address</b>	Street Address 112 TEETER ROCK ROAD	City TRUMBULL	State CT
			Zip Code 06611
<b>Signature of Inventor</b> <i>Joseph D. Mallozzi</i>			<b>Date</b> <i>4/1/02</i>

Practitioner's Docket No. E-418

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re application of: Edward P. Daniels, Jr. et al.

Application No.: 10 / 008,903 Group No.:

Filed: December 7, 2001

Examiner:

For: Method and Apparatus for Processing and Reducing the Amount of Return to Sender Mail Pieces

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ASSENT OF ASSIGNEE TO CORRECTION  
AND/OR ADDITION OF INVENTOR(S)**

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APR 18 2002

**GROUP 3600**

PITNEY BOWES INC.

(type or print name of assignee)

1 Elmcroft Road

Address

Stamford, CT 06926-0700

**Assignment**

☒ recorded on December 7, 2001

Reel 012370

Frame 0098

☐ recorded herewith

☐ A separate ☐ "ASSIGNMENT" (DOCUMENT) COVER SHEET is attached.

or

☐ FORM PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed

☒ herewith.

☐ on \_\_\_\_\_

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: APRIL 4, 2002

Signature

Alberta A. Vitale

(type or print name of person certifying)

### ASSIGNEE STATEMENT

A "STATEMENT UNDER 37 C.F.R. § 3.73(b)" ~~is attached~~ follows:

The undersigned attorney, Alberta A. Vitale, Reg. No. 41,520, is authorized to act on behalf of the Assignee.



Signature

Alberta A. Vitale Reg. No. 41,520

(type or print name and title of person authorized to sign  
on behalf of assignee)

(Assent of Assignee to Correction and/or Addition of Inventor(s) [9-23]—page 2 of 2)

Pitney Bowes Inc.  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000  
(203) 924-3882



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**GROUP 3600**

In re patent application of )  
EDWARD P. DANIELS, JR., Et Al. ) Attorney Docket No.: F-418  
Serial No.: 10/008,903 ) Date: April 4, 2002  
Filed: December 7, 2001 )

Title: **METHOD AND APPARATUS FOR PROCESSING AND REDUCING THE  
AMOUNT OF RETURN TO SENDER MAIL PIECES**

**RECORDATION OF ASSIGNMENT - PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) in the records of the  
U.S. Patent and Trademark Office.

1. Name of conveying party:  Edward P. Daniels, Jr. Robert K. Gottlieb Joseph D. Mallozzi	2. Name of receiving party:  Pitney Bowes Inc. 1 Elmcroft Road Stamford, CT 06926-0700
3. Nature of Conveyance: Assignment Execution Date: March 12, 2002.	
4. Property Conveyed: Patent Application Serial Number: 10/008,903 Filed: December 7, 2001.	
5. Name and address of party to whom correspondence concerning this document should be mailed:  Alberta A. Vitale Pitney Bowes Inc. 35 Waterview Drive P.O. Box 3000 Shelton, CT 06484-8000	6. Total Number of Applications: 1
	7. Total Recordal Fee: \$40.00
	8. Charge the \$40.00 Fee to Deposit Account No. 16-1885.
9. Statement and Signature	

To the best of my knowledge and belief, the foregoing information is true and correct  
and any attached copy is a true copy of the original document.

*Alberta A. Vitale*  
Alberta A. Vitale

April 4, 2002

## ASSIGNMENT

WHEREAS, we, EDWARD P. DANIELS, JR, ROBERT K. GOTTLIEB and JOSEPH D. MALLOZZI have invented certain new and useful improvements in a **METHOD AND APPARATUS FOR PROCESSING AND REDUCING THE AMOUNT OF RETURN TO SENDER MAIL PIECES** identified as File Number F-418 in the Intellectual Property and Technology Law Department files of the hereinafter-mentioned assignee, for which an application for United States Patent has been filed on December 7, 2001 under serial number 10/008,903;

AND WHEREAS, we acknowledge that at the time of the invention, we were under an obligation to convey the entire rights in the invention to the hereinafter-mentioned assignee;

AND WHEREAS, Pitney Bowes Inc., a corporation organized and existing under the laws of the State of Delaware and having its place of business at 1 Elmcroft Road, Stamford, Connecticut, U.S.A., is desirous of acquiring certain rights thereunder.

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and hereby sell, assign and transfer unto said corporation the entire right, title and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to said invention, said application and any and all patents (including extensions thereof, and all the rights under the International Convention for the Protection of Industrial Property including the right to claim for any foreign patent application the priority date of the corresponding U.S. patent application) of any country, which have been or may be granted on said invention or any part thereof, or on said application or any divisional, continuing, renewal, substitute, reissue or other patent application based in whole or in part thereon, or based upon said invention;

TO BE HELD AND ENJOYED by said corporation, its successors and assigns, to the full ends of the respective terms of which said patents or any of them have been or may be granted, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States application or any of them, or upon said invention or any part thereof, to said corporation;

AND we hereby agree for ourselves and for our heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, renewal, substitute, reissue, or other applications for patents of any country, that may be deemed necessary by said corporation









IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: ) Attorney Docket No.: F-418  
EDWARD P. DANIELS, JR., ET AL ) Group Art Unit: Not Yet Assigned  
Serial No.: 10/008,903 ) Examiner: Not Yet Assigned  
Filed: December 7, 2001 ) Date: April 4, 2002  
Title: **METHOD AND APPARATUS FOR PROCESSING AND REDUCING  
THE AMOUNT OF RETURN TO SENDER MAILPIECES**

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8 (a))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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APR 18 2002  
**GROUP 3600**

Sir:

I hereby certify that an Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named or not Named Inventor(s) In-Nonprovisional Application-Declaration; Assent of Assignee to Correction and/or Addition of Inventor(s); Declaration and Power of Attorney; Recordation of Assignment and Assignment is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Respectfully submitted,

*Alberta A. Vitale*

Alberta A. Vitale  
Reg. No. 41,520

On April 4, 2002  
Date



Practitioner's Docket No. F-418

PATENT

365  
# 4  
11-4-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Edward P. Daniels, Jr. et al.

Application No.: 10 / 008, 903

Group No.:

Filed: December 7, 2001

Examiner:

For: Method and Apparatus for Processing and Reducing the Amount of Return to Sender Mail Pieces

Assistant Commissioner for Patents

Washington, D.C. 20231

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GROUP 3600

**AMENDMENT, PETITION AND FEE TO DELETE AND/OR  
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED  
INVENTOR(S) IN—NONPROVISIONAL APPLICATION—DECLARATION  
(37 C.F.R. § 1.48(a))**

NOTE: "All the inventive entity is set forth in error in an executed § 1.63 oath or declaration in an application, other than a reissue application, and such error arose without any deceptive intention of the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the application may be amended to name only the actual inventor or inventors." 37 C.F.R. § 1.48(a)

NOTE: 37 C.F.R. § 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on 12/7/01 (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

☒ Add the following previously unnamed person(s) as inventor(s) of this application:

Joseph D. Mallozzi

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: APRIL 4, 2002

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Alberta A. Vitale

Signature

Alberta A. Vitale

(type or print name of person certifying)

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration (37 C.F.R. § 1.48(a) [9-22]—page 1 of 2)

- ☐ Delete the following previously incorrectly named inventor(s)

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3. Attachments

Attached is

- (a) A statement from: *(check items below that apply)*
- ☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
  - ☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
- (b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).
- (c) written assent of the assignee *(if any of the original inventors executed an assignment)* 37 C.F.R. 1.48(a)(4)
- (d) *(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)*
- ☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. § 1.17(i)–\$130.00)

The fee required is paid as follows:

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ 130.00
- ☒ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_
- ☒ to Deposit Account No. 16-1885
  - ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Reg. No.: 41,520

Tel. No.: (203 ) 924-3882

Customer No.:



SIGNATURE OF PRACTITIONER

Alberta A. Vitale

*(type or print name of practitioner)*

35 Waterview Drive

P.O. BOX 3000

P.O. Address

Shelton, CT 06484-8000

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration  
(37 C.F.R. § 1.48(a) [9-22]—page 2 of 2)